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OIPE		<u> </u>	Application Number	10/063,803
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			Examiner Name	2800
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	SIGNATURE O	F APPLI	CANT, ATTORNEY, OR	AGENT
Firm <i>or</i> Individual name			David J. Cole	
Signature	Da		Cil	
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# JAN 1 3 2003 TO THOEMARK GROU

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit:

1741

**Application of:** 

Honeyman et al.

**Serial No.:** 

10/063,803

Filed:

**April 15, 2002** 

For:

**ELECTROPHORETIC PARTICLES AND** 

PROCESSES FOR THE PRODUCTION THEREOF

Examiner:

Not yet known

Cambridge, Massachusetts January 10, 2003

#### INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington DC 20231

Sir:

Further to the (three-part) Electronic Information Disclosure attement filed on January 9 in connection with the above application, the applicant is filing this paper Information Disclosure Statement to make of record foreign patents and applications and non-patent references. The references which it is desired to make of record are listed on the accompanying Form PTO-1449 and copies are enclosed.

All of the references filed herewith are in the English language or an English-language Abstract is provided, so that, pursuant to 37 CFR 1.98(a)(3), no statement of the relevance of each reference is required.

Certain of the references filed on the Electronic Information Disclosure Statement were drawn to applicants' attention in the International Search Report issued in connection with the corresponding International Application PCT/US02/15337; a copy of this International Search Report is being filed herewith.

The Examiner is respectfully asked to note that certain prior art documents referred to in the application are not being supplied herewith, since upon reconsideration it has been determined that they simply repeat the disclosures of other documents which are being supplied, as follows:

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Document not supplied	Alternative supplied
WO 96/18695	US 5,554,739 and 5,571,311
WO 97/04398	US 6,124,851
WO 98/03896	US 6,120,588
WO 98/19208	US 5,930,026
WO 98/41898	US 2001/0045934
WO 98.41899	US 5,961,804
WO 99/10767	US 6,067,185\
WO 99/10768	US 6,177,921
WO 99/10769	US 6,118,426
WO 99/47970	US 6,445,489
WO 99/53371	US 2002/0063677
WO 99/56171	US 6,130,774
WO 99/59101	US 6,473,072
WO 00/03291	US 2002/0113770
WO 00/03349	US 2002/0053900
WO 00/20921	US 6,262,833
WO 00/20923	US 6,376,828
WO 00/36465	US 6,312,304
WO 00/52102	US 6,398.858
WO 00/53681	US 6,221,143
WO 00/59625	US 6,377,387
WO 00/60410	US 6,327,072
WO 01/02899	US 6,392,786
WO 01/08242	US 6,413,790
WO 01/17040	US 6,312,971
WO 01/80287	US 2002/19081
WO 02/07216	US 2002/106847.

The Examiner's attention is especially directed to WO 02/21201. There does not appear to be any published US equivalent of this International Application, which claims priority from a US application. Claims 134 and 135 of the present application are copied from claims 1 and 5 of WO 02/21201 to leave open to applicants the possibility of provoking an Interference with any corresponding US application. For the avoidance of doubt, the Examiner is advised that the Charles H. Honeyman named as an inventor on WO 02/21201 is the same individual named as an inventor on the present application. Incidentally, although the copy of WO 02/21201 supplied herewith may appear incomplete in as much as the final page breaks off in the middle of a claim, the

Honeyman et al. Serial No. 10/063,803 Information Disclosure Statement of January 10, 2003 Page 3

copy supplied does correspond exactly to that available on the European Patent Office's espacenet web site (http://ep/espacenet.com); since the International Application was filed with the Office as Receiving Office, the Examiner will presumably have access to the original papers of the International Application.

Respectfully submitted

David J. Cole Registration No. 29629

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Telephone (617) 499-6069 Fax (617) 499-6200 E-mail dcole@eink.com

Sheet 1 of 4

#### **Form PTO-1449**

# **INFORMATION DISCLOSURE CITATION**

Attorney's Docket No.

H-307

10/063,803

Applicant

Honeyman et al.

Filing Date

Group Art Unit

(Use several sheets if necessary)

April 15, 2002 1741

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## FOREIGN PATENT DOCUMENTS

FOREIGN FATENT DOCUMENTS							
MARK OFFICE	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	ENGLISH ABSTRACT ONLY	TRANSLATION
B1	1,465,701	03/02/77	GB			No	
B2	63-008637A	01/14/88	JP			Yes	
В3	62-183439A	08/11/87	JP			Yes	
B4	60-189731A	09/27/85	JP		<u>.</u>	Yes	
B5	59-165028A	09/18/84	JP			Yes	
В6	55-105227A	08/12/80	JP			Yes	
B7	51-130241A	11/12/76	JP			Yes	
В8	2000-227612	08/15/00	JP			Yes	
В9	2000-66248	03/03/00	JP			Yes	
B10	07-146660A	06/06/95	JP			Yes	
B11	05-173193A	07/13/93	JP			Yes	
B12	03-258866A	11/19/91	JP			Yes	
B13	02-189525A	07/25/90	JP			Yes	
B14	02-141730A	05/31/90	JP			Yes	
B15	02-024633A	01/26/90	JP			Yes	
B16	01-248182A	10/03/89	JP			Yes	
B17	01-114829A	05/08/89	JP			Yes	
B18	WO 99/67678	12/29/99	PCT			No	
B19	WO 99/53373	10/21/99	PCT			No	
B20	WO 99/51690	10/14/99	PCT			No5 ,	R
B21	WO 95/33085	12/07/95	PCT			No.	RECEIVE
B22	WO 02/21201	03/14/02	PCT			Non	ANE
B23	WO 01/92359	12/06/01	PCT			Yes	ED Man
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B25	WO 01/17029	03/08/01	PCT			No No	·
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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	B26	WO 01/08241	02/01/01	PCT			No	
	B27	WO 01/07961	02/01/01	PCT			No	
	B28	WO 00/67327	11/09/00	PCT			No	
	B29	WO 00/67110	11/09/00	PCT			No	
	B30	WO 00/38001	06/29/00	PCT			No	
	B31	WO 00/38000	06/29/00	PCT			No	
	B32	WO 00/36666	06/22/00	PCT			No	
	B33	WO 00/36560	06/22/00	PCT			No	-
	B34	WO 00/26761	05/11/00	PCT			No	
	B35	WO 00/22051	04/20/00	PCT	ļ		No	
	B36	WO 00/20922	04/13/00	PCT			No	
	B37	WO 00/05704	02/03/00	PCT			No	
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	C2	Chen, Y., et al., "A Conformable Electronic Ink Digest, 157 (June 2001)	Display using a Foil-Based a-Si	TFT Array", SID 01
	C3	Chiang, A., "Conduction Mechanism of Charge Devices", Proceeding of the S.I.D., 18, 275 (197		phoretic Display
	C4	Comiskey, B., et al., "An electrophoretic ink for a 253 (1998)	all-printed reflective electronic of	displays", Nature, 394,
	C5	Comiskey, B., et al., "Electrophoretic Ink: A Prin	table Display Material", SID 97	Digest (1997), page 75
	C6	Croucher, M.D., et al., "Electrophoretic Display: Eng., 25, 80 (1981)	Materials as Related to Perform	manæ", Photog. Sci.
	<b>C</b> 7	Drzaic, P., et al., "A Printed and Rollable Bistabl 1131		VE 1 23
	C8	Fitzhenry, B., "Identification of a Charging Mech Spectroscopy, 33, 107 (1979)	anism using Infrared Spectroso	copy"(Applid D
	C9	Gutcho, M.H., "Microcapsules and Microencaps NJ, (1976)	ulation Techniques, Noyes Da	a Corp., Park Ridge
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	C14	Milner, "Polymer Brushes", Science, 251, 905 (1	991)	
	C15	Murau, P., et al., "The understanding and elimin electrophoretic display", J. Appl. Phys., 49, 4820		abilities in an
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Sheet 4 of 4

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	C17	Ota, I., et al., "Electrophoretic display de 145 (1975)	evices", Laser 75 Optoelectronics C	Conference Proceedings,
	C18	Ota, I., et al., "Developments in Electrop	phoretic Displays", Proceedings of	the SID, 18, 243 (1977)
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	C20	Vandegaer, J.E. (ed.), "Microencapsula Press, New York 1974)	tion Processes and Applications",	pp. v-x, 1-180 (Plenum
	C21	Beers, K. L., et al., "Atom Transfer Radi Macromolecules, 32, 5772-5776 (1999)		yl Methacrylate",
	C22	Wang, J.S. et al., "Controlled/Living' Ra the Presence of Transition-Metal Comp		
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